

UTILITY PATENT APPLICATION TRANSMISSION

Our Docket No.:

~~A-72056~~/AJT/TJH

Date: Oct. 14, 2003

First Named Inventor: Pavel I. LAZAREV

Title: ANISOTROPIC FILM
MANUFACTURING

Express Mail No.: EV 182 097 669 US

CERTIFICATE OF MAIL (37 CFR 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: MAIL STOP PATENT APPLICATION, FEE, Commissioner for Patent, P.O. Box 1450, Alexandria, VA 22313-1450 on October 14, 2003.

Signed:

Laura Lee Mosier

ADDRESS TO:

Mail Stop Patent Application, FEE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

17858 U.S. PTO
10/685930



APPLICATION ELEMENTS

1. ☒ Fee Calculation Sheet
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status
3. ☒ Specification Total Pages: 39
 - ☒ Descriptive title of the invention
 - ☒ Cross References to Related Applications
 - ☐ Statement Regarding Fed. Sponsored R&D
 - ☐ Reference to Microfiche Appendix
 - ☒ Background of the Invention
 - ☒ Brief Summary of the Invention
 - ☒ Brief Description of the Drawings
 - ☒ Detailed Description
 - ☒ Claims
 - ☒ Abstract of the Disclosure
4. ☒ Drawings (35 U.S.C. 113) Total Sheets: 8
5. ☒ Executed Oath or Declaration Total Pages: 3
 - a. ☒ Newly Executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. 1.63(d)) (for continuation/divisional with Box 19 completed)
 1. ☐ **DELECTION OF INVENTORS**
Signed statement attached deleting inventor(s) named in the prior application (37 C.F.R. 1.63(d)(2) and 1.33(b))
6. ☐ Application Data Sheet (37.C.F.R. 1.76)

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statement verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Certification Under 35 U.S.C. 122(b)(2)(B)(i)
10. ☒ Assignment Papers (cover sheet & document(s))
11. ☐ 37 C.F.R. 3.73(b) Submission
12. ☒ Power of Attorney
13. ☐ English Translation Document (if applicable)
14. ☐ Information Disclosure Statement
(with Copies of Citations as necessary)
15. ☐ Preliminary Amendment Total Pages:
16. ☒ Return Receipt Postcard
(Should be specifically itemized)
17. ☐ Certified Copy of Priority document(s)
(if foreign priority is claimed)
18. ☐ Other

19. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior Application No.:

For continuation or divisional applications: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference

CORRESPONDENCE ADDRESS

ATTY NAME	Tianjun Hou		DATE:
	Reg. No. 51,821	SIGNATURE:	October 14, 2003
ADDRESS	DORSEY & WHITNEY LLP Four Embarcadero Center, Suite 3400 San Francisco, California 94111-4187		Customer Number: 32940
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02570 U.S. PAT. & TM. OFF.

APPLICATION
FEE TRANSMITTAL SHEET

Complete if Known

Application No.	To be assigned
Filing Date	October 14, 2003
First Named Inventor	PAVEL I. LAZAREV
Group Art Unit	To be assigned
Examiner Name	To be assigned
Atty. Docket Number and Title:	A-72056/AJT/TJH (463031-92) Anisotropic Film Manufacturing

METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account No.: 50-2319

Deposit Account Name: DORSEY & WHITNEY LLP

☒ Charge any additional fee required under 37 C.F.R. 1.16 and 1.17☒ Applicant claims small entity status (see 37 C.F.R. 1.27)2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
114	160	214	80	☐ Prov. Filing Fee
101	770	201	385	☒ Utility Filing Fee
106	340	206	170	☐ Design Filing Fee
108	770	208	385	☐ Reissue Filing Fee

Subtotal (1) 385

2. EXTRA CLAIM FEES

	Number Claims	Prior	Extra	Fee from Below*	Fee Paid
Total	54	- 20	= 34	x 9	= 306
Indep.	2	- 3	= 0	x	=
Multiple Dependent Claims			0	x	=

Subtotal (2) 306

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	86	202	43	Independent claims in excess of 3
104	290	204	145	Multiple dependent Claim
109	86	209	43	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
105	130	205	65	Surcharge - Late nonprovisional filing fee or oath	
126	180	126	180	Submission of IDS	
581	40	81	40	Recording each patent assignment per property (times number of properties)	40
115	110	215	55	Extension for reply within first month	
116	410	216	205	Extension for reply within second month	
117	930	217	465	Extension for reply within third month	
118	1,450	218	725	Extension for reply within fourth month	
128	1,970	280	985	Extension for reply within fifth month	
146	750	246	375	Submission After Final 1.129	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
148	110	248	55	Terminal Disclaimer Fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
142	1,300	242	650	Utility/Reissue Issue Fee (including advance copies)	
143	470	243	235	Design Issue Fee (inc. advance copies)	
179	750	279	375	Request for Continued Examination (RCE)	
195	300	195	300	Publication fee for early, voluntary, or normal publication	
196	300	196	300	Publication fee for re-publication	
140	110	240	55	Petition to Revive - unavoidable	
141	1,300	241	645	Petition to Revive - unintentional	

OTHER FEE (specify)

Subtotal (3)

Total Amount of Payment:

\$ 731

Submitted by:

Name: Tianjun Hou

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Telephone: (650) 494-8700

Signature:

Date: October 14, 2003

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